

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN  
Licensing & Registration Division  
Licensing Department



No: 131/Securities Broker/2025

Islamabad, 01-Jan-2025

### LICENSE AS SECURITIES BROKER

The Securities and Exchange Commission of Pakistan having considered the application for renewal of license by **GMI Capital Securities (Pvt.) Limited** for securities broker under the **Trading and Self Clearing** category under section 68 of the Securities Act, 2015 and under section 51 of Futures Market Act, 2016 and being satisfied that the said company is eligible for a license, and that it would be in public interest and in the interest of the capital market so to do, hereby grants renewal of license, in exercise of the powers conferred by section 69 of the Securities Act, 2015 and under section 52 of the Futures Market Act, 2016 to **GMI Capital Securities (Pvt.) Limited** subject to the provisions of the Securities Act, 2015 and the Futures Market Act, 2016 and the rules and regulations made thereunder, as amended from time to time.

2. This license is valid up till December 31, 2025.

Signature of the Officer





## PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi - 74000, Pakistan.  
UAN: 111-001-122, 111-012-345  
Website: [www.psx.com.pk](http://www.psx.com.pk) Email: [info@psx.com.pk](mailto:info@psx.com.pk)

### OFFICE / BRANCH OPENING AUTHORIZATION

OA- 131

Certified that in pursuance of Scheme(s) of Integration approved by the Securities and Exchange Commission of Pakistan vide Order No. 01/2016 dated January 11, 2016, **M/s. GMI Capital Securities (Private) Limited** holding TREC No. 131 having its office at Room No. 705, 7<sup>th</sup> Floor, Stock Exchange Building, Stock Exchange Road, Karachi, a Broker of the Pakistan Stock Exchange Limited is hereby authorized to operate an Office at **Room No. 705, 7<sup>th</sup> Floor, Stock Exchange Building, Stock Exchange Road, Karachi**, subject to these Regulations applicable in this regard.

The person authorized to manage and supervise the Office is **Ms. Mariya d/o Ghulam Muhammad** holding CNIC No. **42301-6271391-8** whose photograph and specimen signature are affixed on this Certificate.

This Certificate is issued for the purpose of prominently displaying at the above Office.

Issued at Karachi on this **21<sup>st</sup> day of March, 2020.**



Specimen Signature

Authorised Signatory of the Exchange



# PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi.

Phone: +9221 111 001 122 Fax: +9221 3246 0923

**COPY**

## TRADING RIGHT ENTITLEMENT (TRE) CERTIFICATE

The Pakistan Stock Exchange Limited (formerly Karachi Stock Exchange Limited) consequent to the Scheme(s) of Integration approved by the Securities and Exchange Commission of Pakistan vide Order No. 01/2016 dated January 11, 2016, hereby grants a TRE Certificate under regulation 6 (8) of the Stock Exchanges (Corporatisation, Demutualization and Integration) Regulations, 2012 subject to the requirements of the Regulations of the Pakistan Stock Exchange Limited, where applicable, to M/s. GMI Capital Securities (Private) Limited.

TRE Certificate number: 131

Dated: January 11, 2016

Place: Karachi.

By order  
Managing Director

For and on behalf of  
Pakistan Stock Exchange Limited

Original TRE Certificate  
Pledged with PSX